



RCE 12800

PTO/SB/30 (10/2001)

Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/737,397
Filing Date	December 15, 2000
First Named Inventor	Yasuaki Tsuchiya
Art Unit	2822
Examiner Name	Maria Guerrero
Attorney Docket Number	14162

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8,

1. Submission required under 37 CFR §1.114

a. Previously submitted

- Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- Other _____

b. Enclosed

- Amendment/Reply
- Affidavit(s)/Declaration(s)
- Information Disclosure Statement (IDS)
- Other _____

2. Miscellaneous

a. Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of _____ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR §1.17(i) required)

b. Other

3. Fees The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

a. The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 19-1013/SSMP

- RCE fee required under 37 CFR §1.17(e) \$ 750-
- Extension of time fee (37 CFR §§1.136 and 1.17) 03/03/2003 AWONDAF1 00000011 191013 09737397
- Other _____

b. Check in the amount of \$ _____ enclosed

c. Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Mark J. Cohen	Registration No. (Attorney / Agent)	32,211
Signature	<i>Mark J. Cohen</i>	Date	February 19, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark

Name (Print / Type)	Lisa Fiumara
Signature	<i>Lisa Fiumara</i>
Date	February 19, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yasuaki Tsuchiya, et al.

Examiner: Maria Guerrero

Serial No.: 09/737, 397

Art Unit: 2822

Filed: December 15, 2000

Docket: 14162

For: PROCESS FOR FORMING A METAL
INTERCONNECT

Dated: February 19, 2003

Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT

Sir:

In response to the Office Action dated November 19, 2002, applicants submit the following amendment for entry in the above-identified case.

IN THE CLAIMS:

Please cancel Claims 2 and 8 without prejudice.

Please amend Claims 1, 3, 5, 6, 9 and 12 as follows:

1. (Amended) A process for forming a metal interconnect comprising the steps of forming a concave in an insulating film formed on a substrate, forming a barrier metal film on the insulating film, forming an interconnect metal film over the whole surface such that the concave is filled with the metal and then polishing the surface of the substrate by chemical

b1
RECEIVED
MAR - 4 2003
TECHNOLOGY CENTER 2800

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on February 19, 2003.

Dated: February 19, 2003

Lisa Fiumara
Lisa Fiumara

03/03/2003 AWONDAF1 00000011 191013 09737397

02 FC:1201
03 FC:1202

252.00 CH
90.00 CH

G:\Nec\999\14162\amend\14162.am3.doc